

Case No. 80240

COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

In re Application of: **ROY**  
Serial No.: **10/781,195**  
Filed: **FEBRUARY 18, 2004**  
For: **COMMUNICATIONS SYSTEM PROVIDING ADAPTIVE POLLING  
BASED UPON POSITIVE AND NEGATIVE POLLING EVENTS  
AND RELATED METHODS**

Sir:

Transmitted herewith is an INFORMATION DISCLOSURE STATEMENT in the above-identified application.

1. ☐ This IDS is submitted under 37 C.F.R. § 1.97. No fee is required.
2. ☐ This IDS is submitted under 37 C.F.R. § 1.97(c). Enclosed is a check in the amount of \$ 180.00.
3. ☒ This IDS is submitted under 37 C.F.R. § 1.97(c) and (e). No fee is required.
4. ☐ This IDS is submitted under 37 C.F.R. § 1.97(d) and (e). Enclosed is a check in the amount of \$130.00 to cover the petition fee.
5. ☒ The Commissioner is hereby authorized to charge or credit any discrepancies in fee amounts to Deposit Account No. 01-0484.
6. ☒ Please associate this application with Customer No. 27975.



27975

PATENT TRADEMARK OFFICE

Date: SEPTEMBER 20, 2006

  
CHRISTOPHER F. REGAN  
Reg. No. 34,906

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: )  
 ROY ) Attorney Docket No. 80240  
 )  
 Serial No. 10/781,195 )  
 )  
 Filing Date: FEBRUARY 18, 2004 )  
 )  
 For: COMMUNICATIONS SYSTEM PROVIDING )  
 ADAPTIVE POLLING BASED UPON )  
 POSITIVE AND NEGATIVE POLLING )  
 EVENTS AND RELATED METHODS )  
 )

**CITATION UNDER 37 CFR §1.97**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached is Form PTO-1449 listing several references for consideration in the examination of the above-identified application. In accordance with current USPTO procedures published 05 AUG 2003, in 1276 OG 55, copies of the U.S. patent documents cited in the form 1449A are not attached. The undersigned would be happy to provide copies of these references if requested. Copies of non-U.S. patent references, if any, are attached. Pursuant to §1.97(e), Applicants, by and through their undersigned attorney, certify pursuant to 37 CFR §1.97(e) that either:

(1) each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement, or

(2) no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement.

In re Patent Application of:

ROY

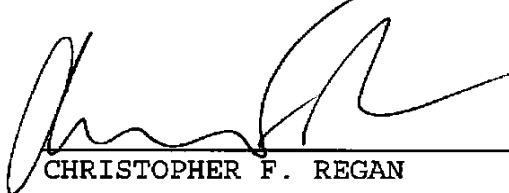
Serial No. 10/781,195

Filing Date: FEBRUARY 18, 2004

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Applicants respectfully request that the references cited herein be considered by the Examiner and officially made of record in accordance with the provisions of 37 CFR §1.97(c) and (e).

Respectfully submitted,



CHRISTOPHER F. REGAN

Reg. No. 34,906

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Attorney for Applicant

<b>SUBSTITUTE FORM PTO-1449A LIST OF PATENTS AND APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	Atty Docket:	80240
	Serial No.:	10/781,195
	Applicant:	ROY
	Filing Date:	FEBRUARY 18, 2004
		Group:

U.S. PATENT DOCUMENTS							
Examiner Initials		Document Number	Date	Name	Class	Sub Class	Filing Date
	AA	6,049,549	4/11/00	Ganz et al.	370	449	
	AB	6,067,561	5/23/00	Dillon	709	206	
	AC	6,615,161	9/2/03	Carney et al.	702	186	
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub Class	Translation
	AM	WO0221777	3/14/02	WO	H04L	12/4/03	
	AN						
	AO						
	AP						
OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)							
	AQ						
	AR						
	AS						

<b>EXAMINER:</b>	<b>DATE CONSIDERED:</b>
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.